



DIVISION
2009 JUN 29 PM 5:18

PTO/SB/65 (03-09)

Approved for use through 03/31/2012. OMB 0651-0016
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**PETITION TO ACCEPT UNAVOIDABLY DELAYED PAYMENT OF
MAINTENANCE FEE IN AN EXPIRED PATENT (37 CFR 1.378(b))**

Docket Number (Optional)

RECEIVED

Mail to: Mail Stop Petition
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450
Fax: (571) 273-8300

JUL 02 2009

OFFICE OF PETITIONS

NOTE: If information or assistance is needed in completing this form, please contact Petitions Information at (571) 272-3282.

Patent Number: 5738192

Application Number: 08/115,439

Issue Date: 4/14/1998

Filing Date: 09/18/96

CAUTION: Maintenance fee (and surcharge, if any) payment must correctly identify: (1) the patent number (or reissue patent number, if a reissue) and (2) the application number of the actual U.S. application (or reissue application) leading to issuance of that patent to ensure the fee(s) is/are associated with the correct patent. 37 CFR 1.366(c) and (d).

Also complete the following information, if applicable:

The above-identified patent:

☐ is a reissue of original Patent No. _____ original issue date _____;
original application number _____,
original filing date _____.

☐ resulted from the entry into the U.S. under 35 U.S.C. 371 of international application
_____ filed on _____.

CERTIFICATE OF MAILING OR TRANSMISSION (37 CFR 1.8(a))

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is

(1) being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 OR

(2) transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (571) 273-8300.

April 21, 2009
Date

Signature

Wanda M. O'Connor

Typed or printed name of person signing Certificate

[Page 1 of 4]

This collection of information is required by 37 CFR 1.378(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 8 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

1. SMALL ENTITY

☒ Patentee claims, or has previously claimed, small entity status. See 37 CFR 1.27

2. LOSS OF ENTITLEMENT TO SMALL ENTITY STATUS

☐ Patentee is no longer entitled to small entity status. See 37 CFR 1.27(g)

3. MAINTENANCE FEE (37 CFR 1.20(e)-(g))

The appropriate maintenance fee must be submitted with this petition, unless it was paid earlier.

NOT Small Entity			Small Entity		
Amount	Fee	(Code)	Amount	Fee	(Code)
<input type="checkbox"/> \$ _____	3 ½ yr fee	(1551)	<input type="checkbox"/> \$ _____	3 ½ yr fee	(2551)
<input type="checkbox"/> \$ _____	7 ½ yr fee	(1552)	<input checked="" type="checkbox"/> \$ <u>1,240</u>	7 ½ yr fee	(2552)
<input type="checkbox"/> \$ _____	11 ½ yr fee	(1553)	<input type="checkbox"/> \$ _____	11 ½ yr fee	(2553)

MAINTENANCE FEE BEING SUBMITTED \$ 1240.00

4. SURCHARGE

The surcharge required by 37 CFR 1.20(i)(1) of \$ 700.00 (Fee Code 1557) must be paid as a condition of accepting unavoidably delayed payment of the maintenance fee.SURCHARGE FEE BEING SUBMITTED \$ 700.00

5. MANNER OF PAYMENT

- ☐ Enclosed is a check for the sum of \$ _____
- ☐ Please charge Deposit Account No. _____ the sum of \$ _____
- ☒ Payment by credit card. Form PTO-2038 is attached.

6. AUTHORIZATION TO CHARGE ANY FEE DEFICIENCY

☐ The Director is hereby authorized to charge any maintenance fee, surcharge or petition fee deficiency to Deposit Account No. _____

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

7. OVERPAYMENT

As to any overpayment made, please

☐ Credit to Deposit Account No. _____

OR

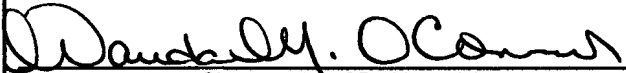
☐ Send refund check**WARNING:**

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.

8. SHOWING

The enclosed statement will show that the delay in timely payment of the maintenance fee was unavoidable since reasonable care was taken to ensure that the maintenance fee would be paid timely and that this petition is being filed promptly after the patentee was notified of, or otherwise became aware of, the expiration of the patent. The statement must enumerate the steps taken to ensure timely payment of the maintenance fee, the date and the manner in which the patentee became aware of the expiration of the patent, and the steps taken to file the petition promptly.

9. PETITIONER(S) REQUESTS THAT THE DELAYED PAYMENT OF THE MAINTENANCE FEE BE ACCEPTED AND THE PATENT REINSTATED.


Signature(s) of Petitioner(s)

April 21, 2009

Date

Wanda M. O'Connor

Typed or printed name(s)

P.O. Box 5531, Clinton, NJ 08809

Address

Registration Number, if applicable

908-334-3158 cell

Telephone Number

Address

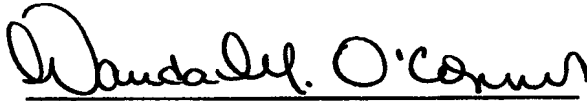
ENCLOSURES:

- ☒ Maintenance Fee Payment
☒ Statement why maintenance fee was not paid timely
☒ Surcharge under 37 CFR 1.20(i)(1) (fee for filing the maintenance fee petition)
☒ Other:

Additional statement page, Proof of payment to Attorney, correspondence to Attorney Fenlon, correspondence to Attorney Flynn, Thiel, Correspondence between Attorney Maki (Flynn, Thiel's office) and Fenlon.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

37 CFR 1.378(d) states: "Any petition under this section must be signed by an attorney or agent registered to practice before the Patent and Trademark Office, or by the patentee, the assignee, or other party in interest."



Signature

April 21, 2009

Date

Wanda M. O'Connor

Type or printed name

Registration Number, if applicable

STATEMENT

(In the space below, please provide the showing of unavoidable delay recited in paragraph 8 above.)

From Wanda O'Connor partner of Montie H. Miner inventor of all our patents.
Company name - MOC Tool Works, L.L.C.
Original partners - Dominick O'Connor (my husband) and Montie H. Miner
I bought my husband's share in August of 2005.

It was the end of September of 2008, while in the process of making a new patent payment schedule for our eight patents, I came across someone who had knowledge of the Patent Site and was willing to show me how to use it. I was new to using the internet and was not comfortable using the computer.

While learning how to use the site I came across the information that our patent id # USP 5 738 192 had expired due to failure of payment. I immediately took action to find out why it was expired. I had paid our attorney (Joseph A. Fenlon) who was in charge of filing our patents, plus notifying us when the maintenance fees were due. He was the one who provided the maintenance fee payment dates from when the partnership was first established back in 2000.

I had issued a check to Mr. Fenlon for patent # USP 5 738 192 on Sept 9 2005, in the amount of \$ 1,800.00 for the second maintenance fee due October 2005. The funds were drawn from MOC Tool Works L.L.C. checking account, check # 1037. The check was deposited into his account on Sept 16, 2005. I have copies of the canceled check front and back sides.

I have been working since September 30, 2008 to correct this matter. Mr. Joseph A. Fenlon has not cooperated with me. He claims that he made the payment, but can not provide the receipt that it was paid. He has delayed providing information on a regular basis. I asked for assistance from another attorney (different law firm) who manages our Japanese patent to see if he could assist me in getting the information from Mr. Fenlon. Which he has not provided to either of us to date.

The only information that was provided to Mr. Maki was in a phone conversation, that Mr. Fenlon paid the patent in August of 2006 in the amount of \$ 1,000.00. But does not have a receipt other than his credit card statement. After researching Mr. Fenlon's said payment, Mr. Maki concluded that the expiration date for the surcharge would have been April 14, 2006, and that the amount should have been approx. \$ 1,215.00.

On April 16th I took matters into my own hands and tried again to contact someone at the Patent Office. I got lucky and they directed me to Mr. Thurman Page, he was so kind to help assist me and my sister (who knows how to use the internet better than I do).

I have the correspondence that supports my efforts to resolve this matter. The patent that has expired, is one of the most important patents we have. Mr. Fenlon has left us vulnerable. When you read through the documentation it will provide a time line for conversations and attempts to address this situation as quickly as possible. The pages will be lettered A thru F.

(Please attach additional sheets if additional space is needed)

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Statement page -Attached Items

A.- 1st Letter to Joseph A. Fenlon regarding finding of expired patent id # 5738192 = 1 Page

B. - Notice from Joe Fenlon to MOC Tools Works regarding patent payments & due dates. = 1 page

C. - Proof of payment to Joe Fenlon for Patent id # 5738192 = 1 page

D. - Correspondence to Joe Fenlon from Wanda O'Connor = 8 pages

E. - Correspondence to Attorney - Flynn, Thiel - asking for help with communication Between Joe Fenlon & myself (Wanda) = 1 page

F. - Correspondence from Mark Maki @ Flynn Thiel to Joe Fenlon, Mark usually copied me on the email or forwarded them to me. Note: Joseph Fenlon never responded directly to Wanda O'Connor in writing.= 7 pages

Note: In Correspondence from Fenlon to Maki dated April 8, 2009, information I received on April 17th from Mark Maki, Fenlon states:

From: Joseph Fenlon [mailto:jafen@aol.com]

Sent: Wednesday, April 08, 2009 5:23 PM

To: Mark L. Maki

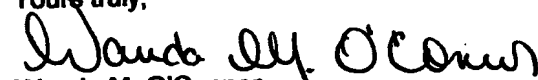
Subject: RE: Phone Conversation - request MasterCard Information

1. I HAVE GONE THROUGH EVERYTHING AND CANNOT FIND PROOF THAT THE MAINTENANCE FEE HAS BEEN PAID. THERE WERE TWO MAINTENANCE FEES DUE. I CHECKED THEM BOTH OFF AS HAVING BEEN PAID. I RECEIVED A RECEIPT AND ACKNOWLEDGEMENT OF PAYMENT ON ONE. I WOULD NOT HAVE CHECKED THEM OFF IF THEY HAD NOT BEEN PAID. I DID FOLLOW A DOCKET ON THOSE FEES AND MY RECORDS INDICATE I RESPONDEDNM TIMELY. I DO NOT KNOW WHAT HAPPENED TO THE RECEIPT. RECEIPTS HAVE BEEN LOST BEFORE AND THEY EVENTUALLY TURNED UP.

Thank you for taking the time to examine the information provided. Hopefully we will have be able to reactivate/correct the status of our expired patent ID # 5738192 Power Tool Drives. I feel that dealing directly with the Patent office is my best option to get this situation straightened out immediately. MOC Tool Works, L.L.C. Is a small company who is just making ends meet. Mr. Fenlon forced my hand to use an attorney to get him to communicate in writing. It is my opinion that his intention was to further challenge us financially.

Your time and effort is greatly appreciated by my partner, Mr. Montie H. Miner and myself.

Yours truly,



Wanda M. O'Connor,
MOC Tool Works, L.L.C.

MOC Tool Works, L.L.C.

Montie H. Miner, member
3431 Maryville Road, Granite City, IL62040
Phone: 618-931-1490/ Fax: 618-931-1491
Cell: 618-910-2868
E-mail: montiemhm@cs.com

Wanda M. O'Connor, member
P.O. Box 373 Hoboken, NJ 07030
Phone: 201-714-7000/ Fax: 201-659-1797
Cell: 908-334-3158
E-mail: mocool@earthlink.net

September 30, 2008

Joseph A. Fenlon
Attorney at Law
7711 Bonhomme
Suite 300
St. Louis, MO 63105

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JUL 02 2009

OFFICE OF PETITIONS

Hi Joe,

I am hoping that you can help me clear up a matter that I have great concern for.

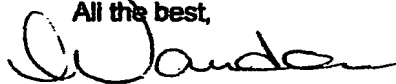
While updating our patent payment spread sheet. I went to the following website to update my information www.uspto.gov to my surprise, I learned that our patent # 5738192 Power Tool Drives had expired. The reason given was failure to pay the annual requirement.

I followed up immediately and found that we had paid each time money was due and did so at each time you requested. The enclosed bank statement from 2005 shows that on September 12, 2005, check # 1037 for the amount of \$1,800.00, was issued to Joseph Fenlon for payment of Maintenance fee for Pawl & Gear.

Enclosed please find the information found: Patent site regarding expired patent, checkbook register from MOC Tool Works, LLC for 2005, patent payment letter from Joe, copy of canceled check issued for pawl and gear payment. (Joe, I am still waiting for the copy of this cashed check from the bank, from Moc Tool account. Didn't want to hold up this letter in the process.) Copies of checks issued from DOC personal account for other patent payments.

Kindly investigate and correct this. If the licensee demands return of all the royalties they have paid to us on the tools they have manufactured under this patent we will suffer a severe loss. For this reason it is important that this problem be corrected immediately.

I look forward to hearing from you.
All the best,



Wanda

cc: Montie Miner
Enclosures

B-1

Joseph A. Fenlon
 Attorney at Law
 231 South Bendiston
 Suite 800
 St. Louis, Missouri 63105

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JUL 02 2009

OFFICE OF PETITIONS

Phone - (314) 854-1304

Fax - (314) 854-1305

FACSIMILE TRANSMITTAL SHEET

TO: Dominick O'Connor	DATE: February 17, 2005
COMPANY: MOC Tool Works	TOTAL NO. OF PAGES INCLUDING COVER: ONE
FAX NUMBER: 201-669-1797	RE: patents

NOTES/COMMENTS:

Dear Dominick:

- Montie asked me to apprise you of the present need for funds relating to the MOC patents.
- The second maintenance fee on Patent No. 5,647,252, Reversible Ratchets is due - that fee will be \$1600.00; X
- The first maintenance fee on Patent No. 6,282,990, Flat head Ratchets is due on or before March 5, 2005; that fee will be \$1100.00; X
- The second maintenance fee on Patent No. 5,738,192, Pawl and Gear Ratchets will be due in October 2005; that fee will be \$1600.00; X
- Another \$1500.00 is due on the patent I am presently working on.
- Please forward me instructions and the funds for the tasks you want me to pursue.

DOC/PNC
 # 214 2/20/05
 \$1,600.

DOC/PNC
 # 215 2/20/05
 \$1,100 -

MOC/PNC
 # 237 \$1,500 -
 \$385

218
 \$1,500 -

WOC's
 Handwritten

Copy: Montie H. Miner

JA Fenlon

Please note on the above memo from Mr. Fenlon regarding monies due:

Item #1: Patent # 5,647,252 Reversible Ratchet second maintenance payment.
 Mr. Fenlon requested: \$1600.00, the actual fee due was \$1,215.00. Difference of \$385.00

Item # 2: Patent # 6,282,99 Flathead Ratchets, first maintenance fee Mr. Fenlon Requested \$1100.00. The actual fee due was \$450.00 Difference of \$650.00.

Item # 3 Patent # 5,738,192 Pawl & Gear, Mr. Fenlon requested \$ 1800.00, the actual maintenance due was \$1240.00. Difference \$560.00

Although there was not an actual written agreement between Mr. Fenlon and MOC Tool Works, LLC that he would be responsible for payment of the maintenance fees, he chose to take this upon himself.

When he filed the Patent application, he chose to list himself under: "address for fee purposes" so the notification for "Maintenance Fee Reminder" mailed on 11-02-2005 was sent to him. We had no way of knowing this remained unpaid.

Furthermore, if you notice the difference between the actual maintenance fee and the amount Mr. Fenlon requested, and since no refund of monies were ever issued to MOC Tool Works, LLC., Mr. Fenlon compensated himself for payment of those fees.

[illegible][illegible]

D-1

MocTool

From: "MOC Tool" <mocool@comcast.net>
To: "Joseph Fenlon" <jafen@aol.com>
Cc: "Montie H. Miner" <MontieMHM@cs.com>; "Mark L. Maki" <mark.maki@flynnthiel.com>
Sent: Friday, April 17, 2009 2:45 PM
Subject: Fw: Phone Conversation - request MasterCard Information

RECEIVED

JUL 02 2009

OFFICE OF PETITIONS

Joe,

Your lack of response to my email requests is totally unprofessional.
 I ask that you send me the information requested below immediately.
 This situation must be repaired.

**Please send me a reply email with your
 MasterCard information;**

Account #:

Payment Date:

Payment Amount:

Also any contact information in the description of payment area:

You were responsible for the payment of this patent. You were handling
 all of our patent payments. Funds were sent to you immediately, when you requested
 payment.

Usually by express mail. Your lack of effort to help repair this situation is unacceptable.

You created this mess, and if you did pay this patent, like you said that
 you did, provide me with the necessary information to straighten it out.

Unless of course you did not pay the patent, therefore you are unable to
 provide the information. So which is it Joe?

Your lack of response has created even a bigger problem if the Patent office
 does not accept my explanation, due to lapse in time for you to respond to not only
 me but to Marki Maki at Flynn Thiel's office.

Be a man Joe, tell me the truth about the payment, and provide the evidence.
 Don't force my hand to get on a plane and deal with you face to face.

Wanda

— Original Message —

From: MOC Tool

To: Joseph Fenlon

Cc: MontieMHM@cs.com

Sent: Thursday, March 12, 2009 1:24 PM

Subject: Phone Conversation - request MasterCard Information

Joe,

Thank you for taking the time to speak with me this morning.

Please make this a top priority, whenever you have requested
 payment from us, I have always responded immediately. Please
 be so kind as to do the same for us.

Please send me a reply email with your

4/21/2009

D-2

MasterCard information;

Account #:

Payment Date:

Payment Amount:

Also any contact information in the description of payment area:

By your providing this information to me, I too may work on solving the patent payment problem with the pawl and gear.

We are running out of time, with regard to fixing the problem. We are already at a huge disadvantage with the gap in response to this situation with dealing with the patent office.

Please provide me with this information as quickly as possible, Montie & I would greatly appreciate it.

Best Regards,

Wanda

4/21/2009

D-3

MocTool

From: "MOC Tool" <mocool@comcast.net>
To: "Joseph Fenlon" <JAFEN@aol.com>
Cc: <MontieMHM@cs.com>
Sent: Thursday, March 12, 2009 1:24 PM
Subject: Phone Conversation - request MasterCard Information

Joe,

Thank you for taking the time to speak with me this morning.

Please make this a top priority, whenever you have requested payment from us, I have always responded immediately. Please be so kind as to do the same for us.

Please send me a reply email with your MasterCard information;

Account #:

Payment Date:

Payment Amount:

Also any contact information in the description of payment area:

By your providing this information to me, I too may work on solving the patent payment problem with the pawl and gear.

We are running out of time, with regard to fixing the problem. We are already at a huge disadvantage with the gap in response to this situation with dealing with the patent office.

Please provide me with this information as quickly as possible, Montie & I would greatly appreciate it.

Best Regards,

Wanda

4/21/2009

D-4

MocTool

From: "MOC Tool" <mocool@comcast.net>
To: "Joseph Fenlon" <jafen@aol.com>
Cc: "Mark L. Maki" <mark.maki@flynnthiel.com>; "Montie H. Miner" <MontieMHM@cs.com>; "Montie H Miner" <mminer@larsonengr.com>
Sent: Wednesday, January 28, 2009 6:27 PM
Subject: Please contact Mark Maki

Hi Joe,
Just following up, please contact Mark Maki, he will need a copy of your credit card statement so that we can proceed with correcting the non payment status of our patent.

His contact information is:
Mark L. Maki
Flynn, Thiel, Boutell & Tanis, P.C.
2026 Rambling Road
Kalamazoo, MI 49008-1631
Phone: 269.381.1156
Fax: 269.381.5465
Video: 269.382.6131
www.flynnthiel.com
mark.maki@flynnthiel.com
Mark's cell phone is 269.370.4093

Joe, if for some reason you were mistaken and did not pay this patent, Please just say so, we must rectify this situation, no matter what occurred. Our future business with Snap On depends on this patent, whether you paid it or not. We are also very vulnerable if others discover the patent and manufacture tools using it. We would not be able to be paid for any tool using that patent if they had done so in the time the patent expired.

You know that our funds are limited, getting the patent reinstated is important. So please help us.....

Best Regards,
Wanda

4/21/2009

D-5

MocTool

From: "MOC Tool" <mocool@comcast.net>
To: "Joseph Fenlon" <jafen@aol.com>
Cc: "Montie H. Miner" <MontieMHM@cs.com>; <mark.maki@flynnthiel.com>
Sent: Wednesday, January 07, 2009 1:20 AM
Subject: Pawl & Gear Patent

Hi Joe,

As per our conversation the other day, we discussed the pawl and gear patent that has expired according to the patent office. It expired due to non payment of Maintenance fee. In October 2008 you said that payment was made but you would need time to locate the method of payment.

When we spoke on Monday the 5th of January, I was delighted to hear that you found the credit card statement with the payment on it. You stated that although you found the statement, that you did not have a paid receipt from the patent office.

I informed you that I had spoken to Mr. Mark Maki from Flynn, Thiel's office. Mr. Thiel is semi-retired so Mr. Mark Maki is assisting with his clients. I sought out additional input with regard to where we stand and we can do to correct the situation.

Mark will be glad to help us, but he needs your cooperation. We have a small widow to correct the problem. So your immediate attention is necessary.

He stated that as long as the patent was paid, we have two possible avenues to solve the problem, one is unintentional and the other is unavoidable.

Please contact Mark Maki. His contact information is as follows:

Mark L. Maki
Flynn, Thiel, Boutell & Tanis, P.C.
2026 Rambling Road
Kalamazoo, MI 49008-1631
Phone: 269.381.1156
Fax: 269.381.5465
Video: 269.382.6131
www.flynnthiel.com
mark.maki@flynnthiel.com

In addition, I would appreciate if you would put in writing, the explanation you related to me, regarding how we are still protected if we have lost the pawl and gear patent.

Since time is of the essence I would appreciate your giving this your immediate attention.

Looking forward to hearing from you.

Best Regards,

Wanda

4/21/2009

D-6

MocTool

From: "MOC Tool" <mocool@comcast.net>
To: "Joseph Fenlon" <jafen@aol.com>
Cc: "Montie H. Miner" <MontieMHM@cs.com>; "Montie H Miner" <mminer@larsonengr.com>
Sent: Friday, December 12, 2008 12:16 PM
Subject: Checking on Status of situation

Good Morning Joe,
I just wanted to touch base with you
regarding your search for proof of payment.
Where do we stand?
Please let Montie and I know what kind of
progress, if any, you have made regarding
solving this problem.

Many thanks,
Wanda

4/21/2009,

D-7

MocTool

From: "MOC Tool" <mocool@comcast.net>
To: "Joseph Fenlon" <jafen@aol.com>
Cc: "Wanda O'Connor" <wandamarie@earthlink.net>; "Montie H. Miner" <MontieMHM@cs.com>;
"Montie H Miner" <mminer@larsonengr.com>
Sent: Sunday, October 26, 2008 4:37 PM
Attach: MOCJoeFenlonck#1037.pdf
Subject: Please see attachment

Hi Joe,
Hope you had a great weekend.
Attached you will find a scanned copy
of the check issued for payment of the Pawl
and Gear Patent.

This information should help with regard to
tracking down the payment and how it was
made.

Montie and I appreciate that you will give
this situation your immediate attention.

Best Regards,
Wanda

4/21/2009

D-8

MocTool

From: "Dominick O'Connor" <oconnor2oconnor@optonline.net>
To: "Joseph Fenlon" <jafen@aol.com>
Cc: "Montie H. Miner" <MontieMHM@cs.com>; "Montie H Miner" <mminer@larsonengr.com>
Sent: Monday, October 06, 2008 9:34 AM
Subject: Greetings

Good Morning Joe,
Hope you had a good weekend.
Did you receive my Express Mail package?

If so did you have time to look into what happened
with the Pawl and gear patent?

If the facts from the website are correct, where
do we stand and how can we rectify this situation?

Please let me know your comments as soon as possible.
I appreciate your cooperation regarding this matter.
All the best,
Wanda

4/21/2009

D. O'Connor/MOC TOOL

From: "MOC Tool" <mocool@comcast.net>
To: "Dale H. Thiel" <mail@flynnthiel.com>
Sent: Friday, November 21, 2008 5:23 PM
Attach: patentpayments.pdf; BibliographicDataStatus 05 17 2006.pdf, ExpiredNotice 04 14 2006.pdf, Pat5738192.pdf; FenlonPatentLtr 09 2008.wpd; MOCJoeFenlonck#1037.pdf
Subject: Fw: Letter to Joe -below are 5 attachments including Joe's letter

Hi Gina,

I apologize for the delay in responding, I was hoping to get a response from Joe Fenlon first. He is the attorney who was responsible for the payment of the expired patent. This is an awkward position to be in.

He finally responded regarding the situation, he is in the process of tracking down his method of payment. He is positive that he paid the patent. Attachment **MOCJoeFenlonck#** is the check issued to him for the payment of the patent. Attachment **Fenlon Patent** is the letter I addressed to Joseph Fenlon which describes the problem. It is in the WordPerfect 12 form, I hope you can open it, if not I will resend it in a different form.

The other attachments are scanned print outs from the USPTO you will find the information pertaining to the patent that we were told has expired.

I greatly appreciate the assistance of your firm with regard to solving this situation. I can be reached on my cell phone at any time of the day. 908-334-3158, if for some reason I do not answer please leave a message and I will respond immediately.

Many thanks,
Wanda

11/21/2008

F-1

MocTool

From: "Mark L. Maki" <mark.maki@flynnthiel.com>
To: "MOC Tool" <mocool@earthlink.net>
Sent: Friday, April 17, 2009 2:58 PM
Subject: FW: Phone Conversation - request MasterCard Information

RECEIVED

JUL 02 2009

Wanda

OFFICE OF PETITIONS

I note your e-mails and forward an e-mail that was received from Joe while I was out last week on vacation. I had assumed you would have been copied and only now note you did not receive this information.

I will call shortly.

Regards,

Mark

Mark L. Maki
 Flynn, Thiel, Boutell & Tanis, P.C.
 2026 Rambling Road
 Kalamazoo, MI 49008-1631
 Phone: 269.381.1156
 Fax: 269.381.5465
 Video: 269.382.6131
www.flynnthiel.com
mark.maki@flynnthiel.com

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Flynn, Thiel, Boutell & Tanis, P.C.
mail@flynnthiel.com

From: Joseph Fenlon [mailto:jafen@aol.com]
Sent: Wednesday, April 08, 2009 5:23 PM
To: Mark L. Maki
Subject: RE: Phone Conversation - request MasterCard Information

I HAVE GONE THROUGH EVERYTHING AND CANNOT FIND PROOF THAT THE MAINTENANCE FEE HAS BEEN PAID. THERE WERE TWO MAINTENANCE FEES DUE. I CHECKED THEM BOTH OFF AS HAVING BEEN PAID. I RECEIVED A RECEIPT AND ACKNOWLEDGEMENT OF PAYMENT ON ONE. I WOULD NOT HAVE CHECKED THEM OFF IF THEY HAD NOT BEEN PAID. I DID FOLLOW A DOCKET ON THOSE FEES AND MY RECORDS INDICATE I RESPONDED NM TIMELY. I DO NOT KNOW WHAT HAPPENED TO THE RECEIPT. RECEIPTS HAVE BEEN LOST BEFORE AND THEY EVENTUALLY TURNED UP.

From: Mark L. Maki [mailto:mark.maki@flynnthiel.com]
Sent: Thursday, March 12, 2009 3:47 PM

4/20/2009

F-2

To: Joseph Fenlon
Cc: MOC Tool
Subject: RE: Phone Conversation - request MasterCard Information

Dear Mr. Fenlon:

I note today's request from Wanda O'Connor asking for confirming information as to the payment that you asserted had been made relative to USP 5 738 192. We had been evaluating this issue based upon the information you provided to Ms. O'Connor and then to me in our telephone discussion. Essentially, the position has been that the fee was paid but not applied to the '192 patent. I further reviewed the information you provided to me, namely, that you made a payment of \$1000 on August 25, 2006, which presumably was applied to the '192 patent. The lack of good documentation already has been problematic. However, two discrepancies have come to light, and I question whether this payment was made toward the '192 patent or alternatively, to some other unrelated matter.

First, the amount \$1000 does not appear to match the amount that would have been due for the maintenance fee. I have attempted to determine the fee amounts which were due in 2006 and believe the total amount due for the maintenance fee and surcharge for a small entity would have been about \$1215.00 and not \$1000.00. Even without a surcharge, I believe the fee should have been about \$1150. Hence, the amount you identified does not match the amount actually due.

Further, the last date to pay the maintenance fee (at the end of the 6-month grace period) was April 14, 2006. According to my notes, you indicated your payment was made on August 25, 2006 which date would have been too late for the maintenance fee in question since it post-dates the end of the grace period.

Based on the foregoing, please reconsider and confirm whether the payment you are referring to, in fact was actually paid toward the '192 patent. If we are to proceed based upon actual payment of the fee, we need to quickly determine whether you have any actual documentary evidence of such payment which matches the fee amount and time frame.

To file a petition for reinstatement of the '192 patent, we need to establish "unavoidable" delay which is a relatively high standard. In this regard, if the fee was not paid, we need to know this, since this changes the approach.

In addition to determining if the fee was paid, and any supporting documents, we also need to look at preparing a written explanation of the procedures in place and the actions taken to pay the fee (after the client payment was received from Ms. O'Connor and deposited). In this regard, I note some discussion in the MPEP of meeting unavoidable delay by establishing the docketing procedures in place and how such procedures may have failed due to an unusual action by staff or the like. We should discuss this possibility.

As an additional matter, I also note that the USPTO records show that a maintenance fee reminder that was issued by the USPTO on November 2, 2005. We likely need to provide an explanation of why such notice did not prompt payment, or if the notice was not received, how this may have occurred. I note the USPTO records show your address at One Metropolitan Square which differs from your current address. Hence, we should review whether a change of address may have contributed to the current situation. Nevertheless, I also note that the MPEP places a significant burden upon us attorney's to update records, such that this issue probably cannot be resolved solely by relying upon a change of address.

I await your reply.

Regards,

Mark

Mark L. Maki
Flynn, Thiel, Boutell & Tanis, P.C.
2026 Rambling Road
Kalamazoo, MI 49008-1631
Phone: 269.381.1156
Fax: 269.381.5465
Video: 269.382.6131

4/20/2009

F-3

www.flynnthiel.com
mark.maki@flynnthiel.com

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Flynn, Thiel, Boutell & Tanis, P.C.
mail@flynnthiel.com

From: MOC Tool [mailto:mocool@comcast.net]
Sent: Thursday, March 12, 2009 1:26 PM
To: Mark L. Maki
Subject: Fw: Phone Conversation - request MasterCard Information
Importance: High

----- Original Message -----

From: MOC Tool
To: Joseph Fenlon
Cc: MontieMHM@cs.com
Sent: Thursday, March 12, 2009 1:24 PM
Subject: Phone Conversation - request MasterCard Information

Joe,
Thank you for taking the time to speak with me this morning.

Please make this a top priority, whenever you have requested payment from us, I have always responded immediately. Please be so kind as to do the same for us.

Please send me a reply email with your
MasterCard information;
Account #:
Payment Date:
Payment Amount:
Also any contact information in the description of payment area:

By your providing this information to me, I too may work on solving the patent payment problem with the pawl and gear.

We are running out of time, with regard to fixing the problem. We are already at a huge disadvantage with the gap in response to this situation with dealing with the patent office.

Please provide me with this information as quickly as possible, Montie & I would greatly appreciate it.
Best Regards,
Wanda

4/20/2009

F-4

MocTool

From: "Mark L. Maki" <mark.maki@flynnthiel.com>
To: "Joseph Fenlon" <jafen@aol.com>
Cc: "Montie H. Miner" <MontieMHM@cs.com>; "MOC Tool" <mocool@earthlink.net>
Sent: Wednesday, January 28, 2009 4:37 PM
Subject: RE: Pawl & Gear Patent

Dear Mr. Fenlon;

Confirming my voice mail of today, I spoke with Wanda O'Connor as to the maintenance fee for the '192 patent and confirmed that I had not received any response to the e-mail below. Please call at your earliest convenience to discuss status and what we need to do to revive the patent. In particular, we would like to have a copy of any payment record showing payment of the maintenance fee, and then evaluate our position and determine best option for proceeding.

I look forward to talking to you and await your call. If you need to call after hours, my cell phone is 269.370.4093.

Regards,

Mark

Mark L. Maki
 Flynn, Thiel, Boutell & Tanis, P.C.
 2026 Rambling Road
 Kalamazoo, MI 49008-1631
 Phone: 269.381.1156
 Fax: 269.381.5465
 Video: 269.382.6131
www.flynnthiel.com
mark.maki@flynnthiel.com

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Flynn, Thiel, Boutell & Tanis, P.C.
mail@flynnthiel.com

From: MOC Tool [mailto:mocool@comcast.net]
Sent: Wednesday, January 07, 2009 12:21 AM
To: Joseph Fenlon
Cc: Montie H. Miner; Mark L. Maki
Subject: Pawl & Gear Patent

Hi Joe,

As per our conversation the other day, we discussed the pawl and gear patent that has expired according to the patent office. It expired due to non payment of Maintenance fee. In October 2008 you said that payment was made but you would need time to locate the method of payment.

4/20/2009

F-5

When we spoke on Monday the 5th of January, I was delighted to hear that you found the credit card statement with the payment on it. You stated that although you found the statement, that you did not have a paid receipt from the patent office.

I informed you that I had spoken to Mr. Mark Maki from Flynn, Thiel's office. Mr. Thiel is semi-retired so Mr. Mark Maki is assisting with his clients. I sought out additional input with regard to where we stand and we can do to correct the situation.

Mark will be glad to help us, but he needs your cooperation. We have a small widow to correct the problem. So your immediate attention is necessary.

He stated that as long as the patent was paid, we have two possible avenues to solve the problem, one is unintentional and the other is unavoidable.

Please contact Mark Maki. His contact information is as follows:

Mark L. Maki
Flynn, Thiel, Boutell & Tanis, P.C.
2026 Rambling Road
Kalamazoo, MI 49008-1631
Phone: 269.381.1156
Fax: 269.381.5465
Video: 269.382.6131
www.flynnthiel.com
mark.maki@flynnthiel.com

In addition, I would appreciate if you would put in writing, the explanation you related to me, regarding how we are still protected if we have lost the pawl and gear patent.

Since time is of the essence I would appreciate your giving this your immediate attention.

Looking forward to hearing from you.

Best Regards,

Wanda

4/20/2009

F-L

MocTool

From: "Mark L. Maki" <mark.maki@flynnthiel.com>
To: "MOC Tool" <moctool@earthlink.net>
Sent: Friday, December 12, 2008 3:50 PM
Subject: RE: Gina, Just checking in

Wanda

Further to my voice mail, feel free to call me at your convenience.

Regards,

Mark

Mark L. Maki
Flynn, Thiel, Boutell & Tanis, P.C.
2026 Rambling Road
Kalamazoo, MI 49008-1631
Phone: 269.381.1156
Fax: 269.381.5465
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Flynn, Thiel, Boutell & Tanis, P.C.
mail@flynnthiel.com

From: MOC Tool [mailto:moctool@comcast.net]
Sent: Friday, December 12, 2008 12:33 PM
To: Flynn Thiel Boutell & Tanis
Subject: Gina, Just checking in

Hi Gina,

I just wanted to check in with you regarding the information below that I sent in November for Patent # 5738192.

Do we have any information regarding status of this situation.

I have not received any calls from your office as of yet. This could be good, meaning that I provided enough information for your office to look into the matter.

When you have the opportunity could you please let me know where we stand. I appreciate your assistance with this problem.

I hope that your Thanksgiving Holiday was a good one.

We all caught a virus, and are still fighting it. Hope you are well.

All the best,

Wanda

4/20/2009

F-7

— Original Message —

From: MOC Tool

To: Dale H. Thiel

Sent: Friday, November 21, 2008 5:23 PM

Subject: Fw: Letter to Joe -below are 5 attachments including Joe's letter

Hi Gina,

I apologize for the delay in responding, I was hoping to get a response from Joe Fenlon first. He is the attorney who was responsible for the payment of the expired patent. This is an awkward position to be in.

He finally responded regarding the situation, he is in the process of tracking down his method of payment. He is positive that he paid the patent. Attachment **MOCJoeFenlonck#** is the check I issued to him for the payment of the patent. Attachment **Fenlon Patent** is the letter I addressed to Joseph Fenlon which describes the problem.

It is in the WordPerfect 12 form, I hope you can open it, if not I will resend it in a different form.

The other attachments are scanned print outs from the USPTO you will find the information pertaining to the patent that we were told has expired.

I greatly appreciate the assistance of your firm with regard to solving this situation. I can be reached on my cell phone at any time of the day. 908-334-3158, if for some reason I do not answer please leave a message and I will respond immediately.

Many thanks,
Wanda

4/20/2009

MOC Tool Works, L.L.C.

Wanda M. O'Connor, member

Montie H. Miner, member

P.O. Box 5531, Clinton, NJ 08809

Phone/Fax: 908-735-5884

cell: 908-334-3158

E-mail: mactool@earthlink.net

June 11, 2009

Of Pages Faxed including this one 29

Faxed To:

Mail Stop Petition
Commissioner for Patents
571-273-8300

From:

Wanda O'Connor
MOC Tool Works, LLC
P.O. Box 5531
Clinton, NJ 08809

previous address was:

MOC Tool Works, LLC
P.O. Box 373
Hoboken, NJ 07030

We relocated October 2008

Thank you for your assistance regarding:

Patent # 5738192

Application # 08/715,439

A handwritten signature in black ink, consisting of a large, stylized 'W' followed by a horizontal line extending to the right.

#10

**PETITION TO ACCEPT UNAVOIDABLY DELAYED PAYMENT OF
MAINTENANCE FEE IN AN EXPIRED PATENT (37 CFR 1.378(b))**

Docket Number (Optional)

Mail to: Mail Stop Petition
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450
Fax: (571) 273-8300

NOTE: If information or assistance is needed in completing this form, please contact Petitions Information at
(571) 272-3282.

Patent Number: 5738192

Application Number: 08/115,439

Issue Date: 4/14/1998

Filing Date: 09/18/96

CAUTION: Maintenance fee (and surcharge, if any) payment must correctly identify: (1) the patent number (or reissue patent number, if a reissue) and (2) the application number of the actual U.S. application (or reissue application) leading to issuance of that patent to ensure the fee(s) is/are associated with the correct patent. 37 CFR 1.366(c) and (d).

Also complete the following information, if applicable:

The above-identified patent:

☐ is a reissue of original Patent No. _____ original issue date _____;
original application number _____
original filing date _____

☐ resulted from the entry into the U.S. under 35 U.S.C. 371 of international application
_____ filed on _____

CERTIFICATE OF MAILING OR TRANSMISSION (37 CFR 1.8(a))

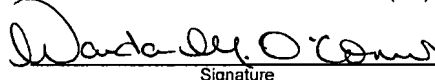
I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is

(1) being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 OR

(2) transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (571) 273-8300.

April 21, 2009

Date



Signature

Wanda M. O'Connor

Typed or printed name of person signing Certificate

[Page 1 of 4]

This collection of information is required by 37 CFR 1.378(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 8 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

1. SMALL ENTITY

☒ Patentee claims, or has previously claimed, small entity status. See 37 CFR 1.27

2. LOSS OF ENTITLEMENT TO SMALL ENTITY STATUS

☐ Patentee is no longer entitled to small entity status. See 37 CFR 1.27(g)

3. MAINTENANCE FEE (37 CFR 1.20(e)-(g))

The appropriate maintenance fee must be submitted with this petition, unless it was paid earlier.

NOT Small Entity			Small Entity		
Amount	Fee	(Code)	Amount	Fee	(Code)
<input type="checkbox"/> \$ _____	3 ½ yr fee	(1551)	<input type="checkbox"/> \$ _____	3 ½ yr fee	(2551)
<input type="checkbox"/> \$ _____	7 ½ yr fee	(1552)	<input checked="" type="checkbox"/> \$ <u>1240</u>	7 ½ yr fee	(2552)
<input type="checkbox"/> \$ _____	11 ½ yr fee	(1553)	<input type="checkbox"/> \$ _____	11 ½ yr fee	(2553)

MAINTENANCE FEE BEING SUBMITTED \$ 1240.00

4. SURCHARGE

The surcharge required by 37 CFR 1.20(i)(1) of \$ 700.00 (Fee Code 1557) must be paid as a condition of accepting unavoidably delayed payment of the maintenance fee.SURCHARGE FEE BEING SUBMITTED \$ 700.00

5. MANNER OF PAYMENT

- ☐ Enclosed is a check for the sum of \$ _____
- ☐ Please charge Deposit Account No. _____ the sum of \$ _____
- ☒ Payment by credit card. Form PTO-2038 is attached.

6. AUTHORIZATION TO CHARGE ANY FEE DEFICIENCY

☐ The Director is hereby authorized to charge any maintenance fee, surcharge or petition fee deficiency to Deposit Account No. _____

7. OVERPAYMENT

As to any overpayment made, please

☐ Credit to Deposit Account No. _____

OR

☐ Send refund check

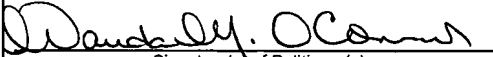
WARNING:

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.

8. SHOWING

The enclosed statement will show that the delay in timely payment of the maintenance fee was unavoidable since reasonable care was taken to ensure that the maintenance fee would be paid timely and that this petition is being filed promptly after the patentee was notified of, or otherwise became aware of, the expiration of the patent. The statement must enumerate the steps taken to ensure timely payment of the maintenance fee, the date and the manner in which the patentee became aware of the expiration of the patent, and the steps taken to file the petition promptly.

9. PETITIONER(S) REQUESTS THAT THE DELAYED PAYMENT OF THE MAINTENANCE FEE BE ACCEPTED AND THE PATENT REINSTATED.



Signature(s) of Petitioner(s)

April 21, 2009

Date

Wanda M. O'Connor

Typed or printed name(s)

Registration Number, if applicable

P.O. Box 5531, Clinton, NJ 08809

908-334-3158 cell

Address

Telephone Number

Address

ENCLOSURES:

- ☒ Maintenance Fee Payment
- ☒ Statement why maintenance fee was not paid timely
- ☒ Surcharge under 37 CFR 1.20(i)(1) (fee for filing the maintenance fee petition)
- ☒ Other:

Additional statement page, Proof of payment to Attorney, correspondence to Attorney Fenlon, correspondence to Attorney Flynn, Thiel, Correspondence between Attorney Maki (Flynn, Thiel's office) and Fenlon.

37 CFR 1.378(d) states: "Any petition under this section must be signed by an attorney or agent registered to practice before the Patent and Trademark Office, or by the patentee, the assignee, or other party in interest."


Signature

April 21, 2009

Date

Wanda M. O'Connor

Type or printed name

Registration Number, if applicable

STATEMENT

(In the space below, please provide the showing of unavoidable delay recited in paragraph 8 above.)

From Wanda O'Connor partner of Montie H. Miner inventor of all our patents.
Company name - MOC Tool Works, L.L.C.
Original partners - Dominick O'Connor (my husband) and Montie H. Miner
I bought my husband's share in August of 2005.

It was the end of September of 2008, while in the process of making a new patent payment schedule for our eight patents, I came across someone who had knowledge of the Patent Site and was willing to show me how to use it. I was new to using the internet and was not comfortable using the computer.

While learning how to use the site I came across the information that our patent id # USP 5 738 192 had expired due to failure of payment. I immediately took action to find out why it was expired. I had paid our attorney (Joseph A. Fenlon) who was in charge of filing our patents, plus notifying us when the maintenance fees were due. He was the one who provided the maintenance fee payment dates from when the partnership was first established back in 2000.

I had issued a check to Mr. Fenlon for patent # USP 5 738 192 on Sept 9 2005, in the amount of \$ 1,800.00 for the second maintenance fee due October 2005. The funds were drawn from MOC Tool Works L.L.C. checking account, check # 1037. The check was deposited into his account on Sept 16, 2005. I have copies of the canceled check front and back sides.

I have been working since September 30, 2008 to correct this matter. Mr. Joseph A. Fenlon has not cooperated with me. He claims that he made the payment, but can not provide the receipt that it was paid. He has delayed providing information on a regular basis. I asked for assistance from another attorney (different law firm) who manages our Japanese patent to see if he could assist me in getting the information from Mr. Fenlon. Which he has not provided to either of us to date.

The only information that was provided to Mr. Maki was in a phone conversation, that Mr. Fenlon paid the patent in August of 2006 in the amount of \$ 1,000.00. But does not have a receipt other than his credit card statement. After researching Mr. Fenlon's said payment, Mr. Maki concluded that the expiration date for the surcharge would have been April 14, 2006, and that the amount should have been approx. \$ 1,215.00.

On April 16th I took matters into my own hands and tried again to contact someone at the Patent Office. I got lucky and they directed me to Mr. Thurman Page, he was so kind to help assist me and my sister (who knows how to use the internet better than I do).

I have the correspondence that supports my efforts to resolve this matter. The patent that has expired, is one of the most important patents we have. Mr. Fenlon has left us vulnerable. When you read through the documentation it will provide a time line for conversations and attempts to address this situation as quickly as possible. The pages will be lettered A thru F.

(Please attach additional sheets if additional space is needed)

Statement page -Attached Items

- A.- 1st Letter to Joseph A. Fenlon regarding finding of expired patent id # 5738192 = 1 Page
- B. - Notice from Joe Fenlon to MOC Tools Works regarding patent payments & due dates. = 1 page
- C. - Proof of payment to Joe Fenlon for Patent id # 5738192 = 1 page
- D. - Correspondence to Joe Fenlon from Wanda O'Connor = 8 pages
- E. - Correspondence to Attorney - Flynn, Thiel - asking for help with communication Between Joe Fenlon & myself (Wanda) = 1 page
- F. - Correspondence from Mark Maki @ Flynn Thiel to Joe Fenlon, Mark usually copied me on the email or forwarded them to me. Note: Joseph Fenlon never responded directly to Wanda O'Connor in writing.= 7 pages

Note: In Correspondence from Fenlon to Maki dated April 8, 2009, information I received on April 17th from Mark Maki, Fenlon states:

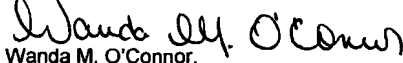
From: Joseph Fenlon [mailto:jafen@aol.com]
Sent: Wednesday, April 08, 2009 5:23 PM
To: Mark L. Maki
Subject: RE: Phone Conversation - request MasterCard Information

I. I HAVE GONE THROUGH EVERYTHING AND CANNOT FIND PROOF THAT THE MAINTENANCE FEE HAS BEEN PAID. THERE WERE TWO MAINTENANCE FEES DUE. I CHECKED THEM BOTH OFF AS HAVING BEEN PAID. I RECEIVED A RECEIPT AND ACKNOWLEDGEMENT OF PAYMENT ON ONE. I WOULD NOT HAVE CHECKED THEM OFF IF THEY HAD NOT BEEN PAID. I DID FOLLOW A DOCKET ON THOSE FEES AND MY RECORDS INDICATE I RESPONDEDNM TIMELY. I DO NOT KNOW WHAT HAPPENED TO THE RECEIPT. RECEIPTS HAVE BEEN LOST BEFORE AND THEY EVENTUALLY TURNED UP.

Thank you for taking the time to examine the information provided. Hopefully we will have be able to reactivate/correct the status of our expired patent ID # 5738192 Power Tool Drives. I feel that dealing directly with the Patent office is my best option to get this situation straightened out immediately. MOC Tool Works, L.L.C. Is a small company who is just making ends meet. Mr. Fenlon forced my hand to use an attorney to get him to communicate in writing. It is my opinion that his intention was to further challenge us financially.

Your time and effort is greatly appreciated by my partner, Mr. Montie H. Miner and myself.

Yours truly,


Wanda M. O'Connor,
MOC Tool Works, L.L.C.

MOC Tool Works, L.L.C.

Montie H. Miner, member
3431 Maryville Road, Granite City, IL62040
Phone: 618-831-1490/ Fax: 618-931-1491
Cell: 618-910-2868
E-mail: montiehm@cs.com

Wanda M. O'Connor, member
P.O. Box 373 Hoboken, NJ 07030
Phone: 201-714-7000/ Fax: 201-659-1797
Cell: 908-334-3158
E-mail: mocool@earthlink.net

September 30, 2008

Joseph A. Fenlon
Attorney at Law
7711 Bonhomme
Suite 300
St. Louis, MO 63105

Hi Joe,

I am hoping that you can help me clear up a matter that I have great concern for.

While updating our patent payment spread sheet. I went to the following website to update my information www.uspto.gov to my surprise, I learned that our patent # 5738192 Power Tool Drives had expired. The reason given was failure to pay the annual requirement.

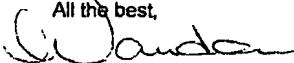
I followed up immediately and found that we had paid each time money was due and did so at each time you requested. The enclosed bank statement from 2005 shows that on September 12, 2005, check # 1037 for the amount of \$1,800.00, was issued to Joseph Fenlon for payment of Maintenance fee for Pawl & Gear.

Enclosed please find the information found: Patent site regarding expired patent, checkbook register from MOC Tool Works, LLC for 2005, patent payment letter from Joe, copy of canceled check issued for pawl and gear payment. (Joe, I am still waiting for the copy of this cashed check from the bank, from Moc Tool account. Didn't want to hold up this letter in the process.) Copies of checks issued from DOC personal account for other patent payments.

Kindly investigate and correct this. If the licensee demands return of all the royalties they have paid to us on the tools they have manufactured under this patent we will suffer a severe loss. For this reason it is important that this problem be corrected immediately.

I look forward to hearing from you.

All the best,


Wanda

cc: Montie Miner
Enclosures

B-1

Joseph A. Fenlon

Attorney at Law
231 South Demington
Suite 900
St. Louis, Missouri 63105

Phone - (314) 854-1304

Fax - (314) 854-1305

FACSIMILE TRANSMITTAL SHEET

TO:	DATE:
Dominick O'Connor	February 17, 2005
COMPANY:	TOTAL NO. OF PAGES INCLUDING COVER:
MOC Tool Works	ONE
FAK NUMBER:	RE:
201-659-1797	patents

NOTES/COMMENTS:

Dear Dominick:

- Montie asked me to apprise you of the present need for funds relating to the MOC patents.
- The second maintenance fee on Patent No. 5,647,252, Reversible Ratchets is due - that fee will be \$1800.00; X
- The first maintenance fee on Patent No. 6,282,290, Flat head Ratchets is due on or before March 8, 2005; that fee will be \$1100.00; X
- The second maintenance fee on Patent No. 5,738,192, Pawl and Gear Ratchets will be due in October 2005; that fee will be \$1600.00; X
- Another \$1500.00 is due on the patent I am presently working on.
- Please forward me instructions and the funds for the tasks you want me to perform.

DOC/PNC
✓ # 214 2/20/05
\$1,600 -

DOC/PNC
✓ # 215 2/20/05
\$1,100 -

MOC/PNC
✓ # 1037 2/20/05
\$1,600 -

✓ # 218
\$1,500 -

Copy: Montie H. Miner

JA Fenlon

Please note on the above memo from Mr. Fenlon regarding monies due:

Item #1: Patent # 5,647,252 Reversible Ratchet second maintenance payment.
Mr. Fenlon requested: \$1600.00, the actual fee due was \$1,215.00. Difference of \$385.00

Item # 2: Patent # 6,282,99 Flathead Ratchets, first maintenance fee Mr. Fenlon Requested \$1100.00. The actual fee due was \$450.00 Difference of \$650.00.

Item # 3 Patent # 5,738,192 Pawl & Gear, Mr. Fenlon requested \$ 1800.00, the actual maintenance due was \$1240.00. Difference \$560.00

Although there was not an actual written agreement between Mr. Fenlon and MOC Tool Works, LLC that he would be responsible for payment of the maintenance fees, he chose to take this upon himself.

When he filed the Patent application, he chose to list himself under: "address for fee purposes" so the notification for "Maintenance Fee Reminder" mailed on 11-02-2005 was sent to him. We had no way of knowing this remained unpaid.

Furthermore, if you notice the difference between the actual maintenance fee and the amount Mr. Fenlon requested, and since no refund of monies were ever issued to MOC Tool Works, LLC., Mr. Fenlon compensated himself for payment of those fees.

C-1

MOC Tool Works, L.L.C.

Wanda M. O'Connor, member
Montie H. Miner, member
P.O. Box 5531, Clinton, NJ 08809
Phone/Fax: 908-735-5884
cell: 908-334-3158
E-mail: moctool@earthlink.net

Dear Mr. Thurman Page,
I tried several times to fax the 29 pages to you and was not successful. I photo copied all the information that I had originally sent and also included the express Mail information that you asked for.
Thank you for your assistance regarding this matter.
I look forward to hearing from you.
Best Regards,
Wanda O'Connor

June 11, 2009 # Of Pages Faxed including this one 29

Faxed To:

Mail Stop Petition
Commissioner for Patents
571-273-8300

From:

Wanda O'Connor
MOC Tool Works, LLC
P.O. Box 5531
Clinton, NJ 08809

previous address was:

MOC Tool Works, LLC
P.O. Box 373
Hoboken, NJ 07030

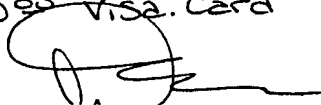
We relocated October 2008

Thank you for your assistance regarding:

Patent # 5738192

Application # 08/715,439

Please note: Payment for this Petition
was charged on approx April 23rd
2009, for \$1,940.00 Visa Card





[Track & Confirm](#) [FAQs](#)

Track & Confirm

Label/Receipt Number: EB50 5329 741U S
Class: Express Mail®
Status: Delivered

Enter Label/Receipt Number.

Class: Express Mail
Status: Delivered
Your item was delivered at 8:52 AM on June 12, 2009 in ALEXANDRIA,
VA 22313 to PATENT OFFICE . The item was signed for by M BOSTON.

Detailed Results:

- Detailed Results:
- Delivered, June 12, 2009, 8:62 am, ALEXANDRIA, VA 22313
 - Arrival at Unit, June 12, 2009, 6:53 am, DULLES, VA 20102
 - Processed through Sort Facility, June 11, 2009, 8:02 pm, EDISON, NJ 08899
 - Acceptance, June 11, 2009, 4:35 pm, CLINTON, NJ 08809

Track & Confirm by email

Track & Confirm by email
Get current event information or updates for your item sent to you or others by email.

Proof of Delivery

Proof of Delivery
Verify who signed for your item by email, fax, or mail.



Business Customer Gateway
Customer Copy
Label 11-B, March 2004

Post Office To Addressee**CUSTOMER USE ONLY**

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Express Mail Corporate Acct. No.

Federal Agency Acct. No. or
Postal Service Acct. No.

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Additional merchandise insurance is void if customer requests waiver of signature. I wish delivery to be made without obtaining signature of addressee or addressee's agent (if delivery employee judges that article can be left in secure location) and I authorize that delivery employee's signature constitutes valid proof of delivery.

NO DELIVERY

Weekend

Holiday ☐

Master Signature

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TO: (PLEASE PRINT) PHONE

FOR INTERNATIONAL DESTINATIONS, WRITE COUNTRY NAME BELOW

6/16/2009

[Home](#) | [Help](#) | [Sign In](#)[Track & Confirm](#)[FAQs](#)

Track & Confirm

Label/Receipt Number: **EB86 1205 225U S**
Status: **Delivered**

Your item was delivered at 8:55 AM on April 22, 2009 in ALEXANDRIA, VA 22313 to PATENT OFFICE. The item was signed for by M BOSTON.

[Enter Label/Receipt Number.](#)

Track & Confirm by email

Get current event information or updates for your item sent to you or others by email.

Proof of Delivery

Verify who signed for your item by email, fax, or mail.

[Site Map](#) [Contact Us](#) [Forms](#) [Govt Services](#) [Jobs](#) [Privacy Policy](#) [Terms of Use](#) [National & Premier Accounts](#)
Copyright © 2009 USPS. All Rights Reserved. [FOIA](#) [FEAR Act EEO Data](#)

*Followup
on May. 6th
Call Mr. at
Patent office*

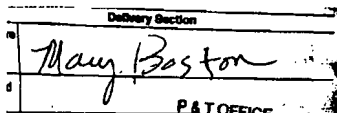


Date: 06/16/2009

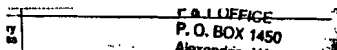
Wanda O'Connor:

The following is in response to your 06/16/2009 request for delivery information on your Express Mail(R) item number EB50 5329 741U S. The delivery record shows that this item was delivered on 06/12/2009 at 08:52 AM in ALEXANDRIA, VA 22313 to M BOSTON. The scanned image of the recipient information is provided below.

Signature of Recipient:



Address of Recipient:

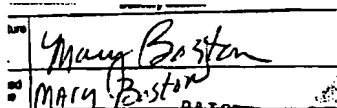


Date: 04/29/2009

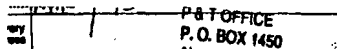
Wanda O'Connor:

The following is in response to your 04/29/2009 request for delivery information on your Express Mail(R) item number EB86 1205 225U S. The delivery record shows that this item was delivered on 04/22/2009 at 08:55 AM in ALEXANDRIA, VA 22313 to M BOSTON. The scanned image of the recipient information is provided below.

Signature of Recipient:



Address of Recipient:



Thank you for selecting the Postal Service for your mailing needs. If you require additional assistance, please contact your local Post Office or postal representative.

Sincerely,

United States Postal Service

D-1

MocTool

From: "MOC Tool" <mocool@comcast.net>
To: "Joseph Fenlon" <jafen@aol.com>
Cc: "Montie H. Miner" <MontieMHM@cs.com>; "Mark L. Maki" <mark.maki@flynnthiel.com>
Sent: Friday, April 17, 2009 2:45 PM
Subject: Fw: Phone Conversation - request MasterCard Information

Joe,

Your lack of response to my email requests is totally unprofessional.
 I ask that you send me the information requested below immediately.
 This situation must be repaired.

**Please send me a reply email with your
 MasterCard information;**

Account #:

Payment Date:

Payment Amount:

Also any contact information in the description of payment area:

You were responsible for the payment of this patent. You were handling
 all of our patent payments. Funds were sent to you immediately, when you requested
 payment.

Usually by express mail. Your lack of effort to help repair this situation is unacceptable.

You created this mess, and if you did pay this patent, like you said that
 you did, provide me with the necessary information to straighten it out.

Unless of course you did not pay the patent, therefore you are unable to
 provide the information. So which is it Joe?

Your lack of response has created even a bigger problem if the Patent office
 does not accept my explanation, due to lapse in time for you to respond to not only
 me but to Marki Maki at Flynn Thiel's office.

Be a man Joe, tell me the truth about the payment, and provide the evidence.
 Don't force my hand to get on a plane and deal with you face to face.
 Wanda

----- Original Message -----

From: MOC Tool
To: Joseph Fenlon
Cc: MontieMHM@cs.com
Sent: Thursday, March 12, 2009 1:24 PM
Subject: Phone Conversation - request MasterCard Information

Joe,

Thank you for taking the time to speak with me this morning.

Please make this a top priority, whenever you have requested
 payment from us, I have always responded immediately. Please
 be so kind as to do the same for us.
 Please send me a reply email with your

4/21/2009

D-2

MasterCard information;

Account #:

Payment Date:

Payment Amount:

Also any contact information in the description of payment area:

By your providing this information to me, I too may
work on solving the patent payment problem with the
pawl and gear.

We are running out of time, with regard to fixing the problem.
We are already at a huge disadvantage with the gap in
response to this situation with dealing with the patent office.

Please provide me with this information as quickly as possible,
Montie & I would greatly appreciate it.

Best Regards,

Wanda

4/21/2009

D-3

MocTool

From: "MOC Tool" <mocool@comcast.net>
To: "Joseph Fenlon" <JAFEN@aol.com>
Cc: <MontieMHM@cs.com>
Sent: Thursday, March 12, 2009 1:24 PM
Subject: Phone Conversation - request MasterCard Information

Joe,

Thank you for taking the time to speak with me this morning.

Please make this a top priority, whenever you have requested payment from us, I have always responded immediately. Please be so kind as to do the same for us.

Please send me a reply email with your MasterCard information;

Account #:

Payment Date:

Payment Amount:

Also any contact information in the description of payment area:

By your providing this information to me, I too may work on solving the patent payment problem with the pawl and gear.

We are running out of time, with regard to fixing the problem. We are already at a huge disadvantage with the gap in response to this situation with dealing with the patent office.

Please provide me with this information as quickly as possible, Montie & I would greatly appreciate it.

Best Regards,

Wanda

4/21/2009

D-4

MocTool

From: "MOC Tool" <mocool@comcast.net>
To: "Joseph Fenlon" <jafen@aol.com>
Cc: "Mark L. Maki" <mark.maki@flynnthiel.com>; "Montie H. Miner" <MontieMHM@cs.com>; "Montie H Miner" <mminer@larsonengr.com>
Sent: Wednesday, January 28, 2009 6:27 PM
Subject: Please contact Mark Maki

Hi Joe,

Just following up, please contact Mark Maki, he will need a copy of your credit card statement so that we can proceed with correcting the non payment status of our patent.

His contact information is:

Mark L. Maki
Flynn, Thiel, Boutell & Tanis, P.C.
2026 Rambling Road
Kalamazoo, MI 49008-1631
Phone: 269.381.1156
Fax: 269.381.5465
Video: 269.382.6131
www.flynnthiel.com
mark.maki@flynnthiel.com
Mark's cell phone is 269.370.4093

Joe, if for some reason you were mistaken and did not pay this patent, Please just say so, we must rectify this situation, no matter what occurred. Our future business with Snap On depends on this patent, whether you paid it or not. We are also very vulnerable if others discover the patent and manufacture tools using it. We would not be able to be paid for any tool using that patent if they had done so in the time the patent expired.

You know that our funds are limited, getting the patent reinstated is important. So please help us.....

Best Regards,
Wanda

4/21/2009

D-5

MocTool

From: "MOC Tool" <mocool@comcast.net>
To: "Joseph Fenlon" <jafen@aol.com>
Cc: "Montie H. Miner" <MontieMHM@cs.com>; <mark.maki@flynnthiel.com>
Sent: Wednesday, January 07, 2009 1:20 AM
Subject: Pawl & Gear Patent

Hi Joe,

As per our conversation the other day, we discussed the pawl and gear patent that has expired according to the patent office. It expired due to non payment of Maintenance fee. In October 2008 you said that payment was made but you would need time to locate the method of payment.

When we spoke on Monday the 5th of January, I was delighted to hear that you found the credit card statement with the payment on it. You stated that although you found the statement, that you did not have a paid receipt from the patent office.

I informed you that I had spoken to Mr. Mark Maki from Flynn, Thiel's office. Mr. Thiel is semi-retired so Mr. Mark Maki is assisting with his clients. I sought out additional input with regard to where we stand and we can do to correct the situation.

Mark will be glad to help us, but he needs your cooperation. We have a small widow to correct the problem. So your immediate attention is necessary. He stated that as long as the patent was paid, we have two possible avenues to solve the problem, one is unintentional and the other is unavoidable.

Please contact Mark Maki. His contact information is as follows:

Mark L. Maki
Flynn, Thiel, Boutell & Tanis, P.C.
2026 Rambling Road
Kalamazoo, MI 49008-1631
Phone: 269.381.1156
Fax: 269.381.5465
Video: 269.382.6131
www.flynnthiel.com
mark.maki@flynnthiel.com

In addition, I would appreciate if you would put in writing, the explanation you related to me, regarding how we are still protected if we have lost the pawl and gear patent.

Since time is of the essence I would appreciate your giving this your immediate attention.

Looking forward to hearing from you.
Best Regards,
Wanda

4/21/2009

D-6

MocTool

From: "MOC Tool" <mocool@comcast.net>
To: "Joseph Fenlon" <jafen@aol.com>
Cc: "Montie H. Miner" <MontieMHM@cs.com>; "Montie H Miner" <mminer@larsonengr.com>
Sent: Friday, December 12, 2008 12:16 PM
Subject: Checking on Status of situation

Good Morning Joe,
I just wanted to touch base with you
regarding your search for proof of payment.
Where do we stand?
Please let Montie and I know what kind of
progress, if any, you have made regarding
solving this problem.

Many thanks,
Wanda

Page 1 of 1

D-7

MocTool

From: "MOC Tool" <mocool@comcast.net>
To: "Joseph Fenlon" <jafen@aol.com>
Cc: "Wanda O'Connor" <wandamarie@earthlink.net>; "Montie H. Miner" <MontieMHM@cs.com>;
"Montie H Miner" <mminer@larsonengr.com>
Sent: Sunday, October 26, 2008 4:37 PM
Attach: MOCJoeFenlonck#1037.pdf
Subject: Please see attachment

Hi Joe,
Hope you had a great weekend.
Attached you will find a scanned copy
of the check issued for payment of the Pawl
and Gear Patent.

This information should help with regard to
tracking down the payment and how it was
made.

Montie and I appreciate that you will give
this situation your immediate attention.

Best Regards,
Wanda

D-8

MocTool

From: "Dominick O'Connor" <oconnor2oconnor@optonline.net>
To: "Joseph Fenlon" <jafen@aol.com>
Cc: "Montie H. Miner" <MontieMHM@cs.com>; "Montie H Miner" <mminer@larsonengr.com>
Sent: Monday, October 06, 2008 9:34 AM
Subject: Greetings

Good Morning Joe,
Hope you had a good weekend.
Did you receive my Express Mail package?

If so did you have time to look into what happened
with the Pawl and gear patent?

If the facts from the website are correct, where
do we stand and how can we rectify this situation?

Please let me know your comments as soon as possible.
I appreciate your cooperation regarding this matter.
All the best,
Wanda

4/21/2009

E-1

D. O'Connor/MOC TOOL

From: "MOC Tool" <mocool@comcast.net>
To: "Dale H. Thiel" <mail@flynnthiel.com>
Sent: Friday, November 21, 2008 5:23 PM
Attach: patentpayments.pdf; BibliographicDataStatus 05 17 2006.pdf; ExpiredNotice 04 14 2006.pdf; Pat5738192.pdf; FenlonPatentLtr 09 2008.wpd; MOCJoeFenlonck#1037.pdf
Subject: Fw: Letter to Joe -below are 5 attachments including Joe's letter

Hi Gina,

I apologize for the delay in responding, I was hoping to get a response from Joe Fenlon first. He is the attorney who was responsible for the payment of the expired patent. This is an awkward position to be in.

He finally responded regarding the situation, he is in the process of tracking down his method of payment. He is positive that he paid the patent. Attachment **MOCJoeFenlonck#** is the check issued to him for the payment of the patent. Attachment **Fenlon Patent** is the letter I addressed to Joseph Fenlon which describes the problem. It is in the WordPerfect 12 form, I hope you can open it, if not I will resend it in a different form.

The other attachments are scanned print outs from the USPTO you will find the information pertaining to the patent that we were told has expired.

I greatly appreciate the assistance of your firm with regard to solving this situation. I can be reached on my cell phone at any time of the day. 908-334-3158, if for some reason I do not answer please leave a message and I will respond immediately.

Many thanks,
Wanda

11/21/2008

Supplement to Petition IN 37CFR1.127A

Docketing information for MOC Tool Works, LLC

Scott Tools Inc. Established in 1990/91 Dissolved - in 1995

members of the corporation: Dominick O'Connor, Scott Darrah, Montie H. Miner

responsibilities of: Dominick O'Connor- financial investor
Scott Darrah- inventor
Montie H. Miner - inventor

The goals of the corporation were to patent the inventor's ideas, then license tool manufacturers to produce and sell the product.

Liz Moczydlowski - Secretary for Scott Tools Inc.

Responsibilities: all administrative and clerical duties for running the office. This also included bookkeeping and payment of all bills.

Attorney for Scott Tools Inc. - Flynn, Thiel, Boutell & Tanis, P.C.
2026 Rambling Road, Kalamazoo Michigan

Attorney's responsibility: to submit the application for the patents applied for with the US patent office. The attorney would also be responsible for paying all of the patent maintenance fees. The firm would notify us in advance and bill us accordingly so that they could pay the fees. Then submit to us a paid statement.

Flynn, Thiel submitted two patents to the United States patent office for Scott Tools Inc. Both patents were approved.

The first patent was abandoned and the second will expire in 2012.

In 1995 Scott Tools Inc. Was dissolved

The assets of the company belong to Dominick O'Connor and Montie H. Miner. Scott Darrah chose to go in a different direction.

In 1995 MOC Tool Works, L. L.C. was established

Members of L.L.C - Dominick O'Connor, & Montie H. Miner

Each has 50% of the business; all decisions are made by mutual consent

Responsibilities of Dominick O'Connor- financial investor
Montie H. Miner - inventor

The goals of the corporation were to patent the inventor's ideas, then license tool manufacturers to produce and sell the product.

Attorney for L.L.C.
Joseph Fenlon
7711 Bonhomme, Suite 300
St. Louis, Missouri 63105

Attorney's responsibilities - to submit the applications for our six patents applied for with the US patent office. All of which were approved. The attorney would also be responsible for paying all of the patent maintenance fees. Joseph Fenlon would notify us in advance and bill us accordingly so that he could pay the fees.

Job Performance: In the beginning Mr. Fenlon's ability to perform his responsibilities seemed to be done well. The only complaint we had - he never provided a paid statement for any of the Maintenance Fees, he has always been negligent in this department of his responsibilities.

Mr. Fenlon never gave us a reason to distrust him; he was an acquaintance of Mr. Miner's before he became our attorney.

It was completely by accident that I found out our patent had expired. While in the process of making a new patent payment schedule for our business. In October of 2008, I was shown how to use the government patent site to look up the maintenance fees for our patents. I was new to using the internet and was not comfortable using the computer other than for typewriter.

While learning how to use the site I came across the information that our patent id # USP 5 738 192 had expired due to failure of payment. I immediately took Action to find out why it was claimed to be expired when I had paid our attorney, Joseph A. Fenlon.

I had issued a check to Mr. Fenlon for patent # USP 5 738 192 on Sept 9 2005, in the Amount of \$ 1,800.00 for the second maintenance fee due October 2005. The funds were drawn from MOC Tool Works L.L.C. checking account, check # 1037. The check was deposited into his account on Sept 16, 2005. I have copies of the canceled check front and back sides.

Mr. Fenlon claims that he made the payment for Patent id # USP 5 738 192. He states that he does not have a receipt from the patent office, that he did not print one out at the time of payment.

To this day, Mr. Fenlon has not produced any proof of payment for this patent.

Attorney for L.L.C.:
Flynn, Thiel, Boutell & Tanis, P.C.
2026 Rambling Road
Kalamazoo Michigan

attorney's responsibilities - to notify us when the maintenance fees were due on the patent that they were responsible for. That patent is approved in Japan, Canada, and Australia. The firm notifies us in advance and bills us accordingly so that they could pay the fees. They always send a receipt for our records that payment was paid.

Job Performance: This office has always handled its responsibilities in a professional manner. Bills, invoices, and receipts were always submitted and provided in a timely process. They continue to do a good job.

Liz Moczydlowski - Secretary for MOC Tool Works, L.L.C.

Responsibilities - all administration and clerical duties for running the office. This also included bookkeeping and payment of all bills.

Liz worked for MOC Tool Works, until June of 2003.

Job performance: She always handled her responsibilities to the best of her ability, We were disappointed when she retired.

Wanda O'Connor (wife of Dominick O'Connor) - replaced Liz and handled all her responsibilities. I took over all administrative and clerical duties for running the office. This also included bookkeeping and payment of all bills.

In September of 2005 Wanda O'Connor purchased her husband's shares of MOC Tool Works, LLC.

I handled and continue to handle the all Financial and administrative responsibilities for MOC Tool Works, L.L.C. Because of the incident that occurred with our Patent # USP 5 738 192, (and that I now have the knowledge to pay the maintenance fees for our existing patents on line through the US Patent office), we have made it my responsibility to maintain all Patent Maintenance Fees.

37 CFR 1.378(d) states: "Any petition under this section must be signed by an attorney or agent registered to practice before the Patent and Trademark Office, or by the patentee, the assignee, or other party in interest."


Signature

April 21, 2009

Date

Wanda M. O'Connor

Type or printed name

Registration Number, if applicable

STATEMENT

(In the space below, please provide the showing of unavoidable delay recited in paragraph 8 above.)

Statement:

From Wanda O'Connor partner of Montie H. Miner inventor of all our patents. Company name is MOC Tool Works, L.L.C. Original partners were my husband Dominick O'Connor and Montie H. Miner. I bought my husbands share in September of 2005.

While in the process of making a new patent payment schedule for our business, in October of 2008, I was shown how to use the government patent site to look up the maintenance fees for our eight patents. I was new to using the internet and was not comfortable using the computer other than for typewriter.

While learning how to use the site I came across the information that our patent id # USP 5 738 192 had expired due to failure of payment. I immediately took action to find out why it was claimed to be expired when I had paid our attorney (Joseph A. Fenlon) who was in charge of filing our patents, plus notifying us when the maintenance fees were due. He was the one who provided the maintenance fee payment dates from when the partnership was first established back in 1995.

I had issued a check to Mr. Fenlon for patent # USP 5 738 192 on Sept 9 2005, in the amount of \$ 1,800.00 for the second maintenance fee due October 2005. The funds were drawn from MOC Tool Works L.L.C. checking account, check # 1037. The check was deposited into his account on Sept 16, 2005. I have copies of the canceled check front and back sides.

I have been working since October 2008 to correct this matter. Mr. Joseph Fenlon has not cooperated with me. He claims that he made the payment, but can not provide the receipt that it was paid. He has stalled me on a regular basis. I then brought in another attorney who manages our Japanese patent to see if he could assist me in getting the information from Mr. Fenlon.

The only information that was provided to Mr. Maki was in a phone conversation, that Mr. Fenlon paid the patent in in August of 2006 in the amount of \$ 1,000.00. But does not have a receipt other than his credit card statement.

On April 16th I took matters into my own hands and tried again to contact someone at the Patent Office. I got lucky and they directed me to Mr. Thurman Page, he was so kind to help assist me and my sister (who knows how to use the internet better than I do).

I have the correspondence that supports my efforts to resolve this matter. The patent that has expired. It is one of the most important patents we have. We have been left vulnerable thanks to Mr. Fenlon. When you read through the documentation it will provide time line for conversations and attempts to address this situation as quickly as possible. The pages will be lettered A thru F.

(Please attach additional sheets if additional space is needed)

Page, Thurman

✓ **From:** MOC Tool [moctool@comcast.net]
Sent: Thursday, July 02, 2009 3:47 PM
To: Page, Thurman
Subject: Information for Patent # 5738192 MOC Tool Works, LLC - Wanda O'Connor
Attachments: Mail Stop Petition part 3.pdf; Mail Stop Petition part 1.pdf; Mail Stop Petition part 2.pdf; Mail Stop Petition ExpressMail Receipts

Mr. Page,
Thank you for your assistance, and persistence to review the attached paperwork.
There are 4 attachments, each can be opened with adobe acrobat.

Mail Stop Petition Express Mail Receipts has copies of the 2 Express Mail packages I sent with all the information that I have attached in this email. Please note the dates they were received. Both packages were signed for by Mary Boston.

Mail Stop Petition Part 1- contains the 4 page application, 2 pages for the statement page, credit card information, parts A,B, & C that assist my statement.

Mail Stop Petition Part 2 - contains Part D & E

Mail Stop Petition Part 3 - contains Part F.

Should you need any additional information I can be reached at
908-725-5884 - residence/home office
908-334-3158 cell phone

Have a wonderful 4th of July, my very best to you and yours
for a safe and enjoyable holiday.
Best regards,
Wanda O'Connor

7/14/2009

7-1

MocTool

From: "Mark L. Maki" <mark.maki@flynnthiel.com>
To: "MOC Tool" <mocool@earthlink.net>
Sent: Friday, April 17, 2009 2:58 PM
Subject: FW: Phone Conversation - request MasterCard Information

Wanda

I note your e-mails and forward an e-mail that was received from Joe while I was out last week on vacation. I had assumed you would have been copied and only now note you did not receive this information.

I will call shortly.

Regards,

Mark

Mark L. Maki
 Flynn, Thiel, Boutell & Tanis, P.C.
 2026 Rambling Road
 Kalamazoo, MI 49008-1631
 Phone: 269.381.1156
 Fax: 269.381.5465
 Video: 269.382.6131
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 mark.maki@flynnthiel.com

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Flynn, Thiel, Boutell & Tanis, P.C.
 mail@flynnthiel.com

From: Joseph Fenlon [mailto:jafen@aol.com]
Sent: Wednesday, April 08, 2009 5:23 PM
To: Mark L. Maki
Subject: RE: Phone Conversation - request MasterCard Information

I HAVE GONE THROUGH EVERYTHING AND CANNOT FIND PROOF THAT THE MAINTENANCE FEE HAS BEEN PAID. THERE WERE TWO MAINTENANCE FEES DUE. I CHECKED THEM BOTH OFF AS HAVING BEEN PAID. I RECEIVED A RECEIPT AND ACKNOWLEDGEMENT OF PAYMENT ON ONE. I WOULD NOT HAVE CHECKED THEM OFF IF THEY HAD NOT BEEN PAID. I DID FOLLOW A DOCKET ON THOSE FEES AND MY RECORDS INDICATE I RESPONDEDNM TIMELY. I DO NOT KNOW WHAT HAPPENED TO THE RECEIPT. RECEIPTS HAVE BEEN LOST BEFORE AND THEY EVENTUALLY TURNED UP.

From: Mark L. Maki [mailto:mark.maki@flynnthiel.com]
Sent: Thursday, March 12, 2009 3:47 PM

4/20/2009

F-2

To: Joseph Fenlon
Cc: MOC Tool
Subject: RE: Phone Conversation - request MasterCard Information

Dear Mr. Fenlon:

I note today's request from Wanda O'Connor asking for confirming information as to the payment that you asserted had been made relative to USP 5 738 192. We had been evaluating this issue based upon the information you provided to Ms. O'Connor and then to me in our telephone discussion. Essentially, the position has been that the fee was paid but not applied to the '192 patent. I further reviewed the information you provided to me, namely, that you made a payment of \$1000 on August 25, 2006, which presumably was applied to the '192 patent. The lack of good documentation already has been problematic. However, two discrepancies have come to light, and I question whether this payment was made toward the '192 patent or alternatively, to some other unrelated matter.

First, the amount \$1000 does not appear to match the amount that would have been due for the maintenance fee. I have attempted to determine the fee amounts which were due in 2006 and believe the total amount due for the maintenance fee and surcharge for a small entity would have been about \$1215.00 and not \$1000.00. Even without a surcharge, I believe the fee should have been about \$1150. Hence, the amount you identified does not match the amount actually due.

Further, the last date to pay the maintenance fee (at the end of the 6-month grace period) was April 14, 2006. According to my notes, you indicated your payment was made on August 25, 2006 which date would have been too late for the maintenance fee in question since it post-dates the end of the grace period.

Based on the foregoing, please reconsider and confirm whether the payment you are referring to, in fact was actually paid toward the '192 patent. If we are to proceed based upon actual payment of the fee, we need to quickly determine whether you have any actual documentary evidence of such payment which matches the fee amount and time frame.

To file a petition for reinstatement of the '192 patent, we need to establish "unavoidable" delay which is a relatively high standard. In this regard, if the fee was not paid, we need to know this, since this changes the approach.

In addition to determining if the fee was paid, and any supporting documents, we also need to look at preparing a written explanation of the procedures in place and the actions taken to pay the fee (after the client payment was received from Ms. O'Connor and deposited). In this regard, I note some discussion in the MPEP of meeting unavoidable delay by establishing the docketing procedures in place and how such procedures may have failed due to an unusual action by staff or the like. We should discuss this possibility.

As an additional matter, I also note that the USPTO records show that a maintenance fee reminder that was issued by the USPTO on November 2, 2005. We likely need to provide an explanation of why such notice did not prompt payment, or if the notice was not received, how this may have occurred. I note the USPTO records show your address at One Metropolitan Square which differs from your current address. Hence, we should review whether a change of address may have contributed to the current situation. Nevertheless, I also note that the MPEP places a significant burden upon us attorney's to update records, such that this issue probably cannot be resolved solely by relying upon a change of address.

I await your reply.

Regards,

Mark

Mark L. Maki
Flynn, Thiel, Boutell & Tanis, P.C.
2026 Rambling Road
Kalamazoo, MI 49008-1631
Phone: 269.381.1156
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4/20/2009

F-3

www.flynnthiel.com
mark.maki@flynnthiel.com

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Flynn, Thiel, Boutell & Tanis, P.C.
mail@flynnthiel.com

From: MOC Tool [mailto:moctool@comcast.net]
Sent: Thursday, March 12, 2009 1:26 PM
To: Mark L. Maki
Subject: Fw: Phone Conversation - request MasterCard Information
Importance: High

----- Original Message -----

From: MOC Tool
To: Joseph Fenlon
Cc: MontieMHM@cs.com
Sent: Thursday, March 12, 2009 1:24 PM
Subject: Phone Conversation - request MasterCard Information

Joe,
Thank you for taking the time to speak with me this morning.

Please make this a top priority, whenever you have requested payment from us, I have always responded immediately. Please be so kind as to do the same for us.

Please send me a reply email with your MasterCard information;
Account #:
Payment Date:
Payment Amount:
Also any contact information in the description of payment area:

By your providing this information to me, I too may work on solving the patent payment problem with the pawl and gear.

We are running out of time, with regard to fixing the problem. We are already at a huge disadvantage with the gap in response to this situation with dealing with the patent office.

Please provide me with this information as quickly as possible, Montie & I would greatly appreciate it.
Best Regards,
Wanda

4/20/2009

F-4

MocTool

From: "Mark L. Maki" <mark.maki@flynnthiel.com>
To: "Joseph Fenlon" <jafen@aol.com>
Cc: "Montie H. Miner" <MontieMHM@cs.com>; "MOC Tool" <mocool@earthlink.net>
Sent: Wednesday, January 28, 2009 4:37 PM
Subject: RE: Pawl & Gear Patent

Dear Mr. Fenlon;

Confirming my voice mail of today, I spoke with Wanda O'Connor as to the maintenance fee for the '192 patent and confirmed that I had not received any response to the e-mail below. Please call at your earliest convenience to discuss status and what we need to do to revive the patent. In particular, we would like to have a copy of any payment record showing payment of the maintenance fee, and then evaluate our position and determine best option for proceeding.

I look forward to talking to you and await your call. If you need to call after hours, my cell phone is 269.370.4093.

Regards,

Mark

Mark L. Maki
 Flynn, Thiel, Boutell & Tanis, P.C.
 2026 Rambling Road
 Kalamazoo, MI 49008-1631
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Flynn, Thiel, Boutell & Tanis, P.C.
 mail@flynnthiel.com

From: MOC Tool [mailto:mocool@comcast.net]
Sent: Wednesday, January 07, 2009 12:21 AM
To: Joseph Fenlon
Cc: Montie H. Miner; Mark L. Maki
Subject: Pawl & Gear Patent

Hi Joe,

As per our conversation the other day, we discussed the pawl and gear patent that has expired according to the patent office. It expired due to non payment of Maintenance fee. In October 2008 you said that payment was made but you would need time to locate the method of payment.

4/20/2009

When we spoke on Monday the 5th of January, I was delighted to hear that you found the credit card statement with the payment on it. You stated that although you found the statement, that you did not have a paid receipt from the patent office.

I informed you that I had spoken to Mr. Mark Maki from Flynn, Thiel's office. Mr. Thiel is semi-retired so Mr. Mark Maki is assisting with his clients. I sought out additional input with regard to where we stand and we can do to correct the situation.

Mark will be glad to help us, but he needs your cooperation. We have a small widow to correct the problem. So your immediate attention is necessary. He stated that as long as the patent was paid, we have two possible avenues to solve the problem, one is unintentional and the other is unavoidable.

Please contact Mark Maki. His contact information is as follows:

Mark L. Maki
Flynn, Thiel, Boutell & Tanis, P.C.
2026 Rambling Road
Kalamazoo, MI 49008-1631
Phone: 269.381.1156
Fax: 269.381.5465
Video: 269.382.6131
www.flynnthiel.com
mark.maki@flynnthiel.com

In addition, I would appreciate if you would put in writing, the explanation you related to me, regarding how we are still protected if we have lost the pawl and gear patent.

Since time is of the essence I would appreciate your giving this your immediate attention.

Looking forward to hearing from you.
Best Regards,
Wanda

4/20/2009

F-6

MocTool

From: "Mark L. Maki" <mark.maki@flynnthiel.com>
To: "MOC Tool" <mocool@earthlink.net>
Sent: Friday, December 12, 2008 3:50 PM
Subject: RE: Gina, Just checking in

Wanda

Further to my voice mail, feel free to call me at your convenience.

Regards,

Mark

Mark L. Maki
 Flynn, Thiel, Boutell & Tanis, P.C.
 2026 Rambling Road
 Kalamazoo, MI 49008-1631
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Flynn, Thiel, Boutell & Tanis, P.C.
 mail@flynnthiel.com

From: MOC Tool [mailto:mocool@comcast.net]
Sent: Friday, December 12, 2008 12:33 PM
To: Flynn Thiel Boutell & Tanis
Subject: Gina, Just checking in

Hi Gina,
 I just wanted to check in with you regarding the information below that I sent in November for Patent # 5738192.
 Do we have any information regarding status of this situation.
 I have not received any calls from your office as of yet. This could be good, meaning that I provided enough information for your office to look into the matter.

When you have the opportunity could you please let me know where we stand. I appreciate your assistance with this problem.

I hope that your Thanksgiving Holiday was a good one.
 We all caught a virus, and are still fighting it. Hope you are well.
 All the best,
 Wanda

4/20/2009

F-7

----- Original Message -----

From: MOC Tool

To: Dale H. Thiel

Sent: Friday, November 21, 2008 5:23 PM

Subject: Fw: Letter to Joe -below are 5 attachments including Joe's letter

Hi Gina,

I apologize for the delay in responding, I was hoping to get a response from Joe Fenlon first. He is the attorney who was responsible for the payment of the expired patent. This is an awkward position to be in.

He finally responded regarding the situation, he is in the process of tracking down his method of payment. He is positive that he paid the patent. Attachment **MOCJoeFenlonck#** is the check I issued to him for the payment of the patent. Attachment **Fenlon Patent** is the letter I addressed to Joseph Fenlon which describes the problem. It is in the WordPerfect 12 form, I hope you can open it, if not I will resend it in a different form.

The other attachments are scanned print outs from the USPTO you will find the information pertaining to the patent that we were told has expired.

I greatly appreciate the assistance of your firm with regard to solving this situation. I can be reached on my cell phone at any time of the day. 908-334-3158, if for some reason I do not answer please leave a message and I will respond immediately.

Many thanks,
Wanda

4/20/2009